

In order to examine the money needed to meet emergencies, pay for vacations, purchase a second home, or care for an elderly relative, you will need to complete this data collection form and the Personal Client Data form.

Accumulation Goals

	Goal #1	Goal #2	Goal #3	Goal #4
Name of Goal	_____	_____	_____	_____
Amount Needed per Year	\$ _____	\$ _____	\$ _____	\$ _____
Inflate Need	_____ %	_____ %	_____ %	_____ %
Years Until Needed	_____ yrs	_____ yrs	_____ yrs	_____ yrs
Number of Years Needed	_____ yrs	_____ yrs	_____ yrs	_____ yrs

Asset Assignment

Assets to Reserve for Accumulation Goals

In the section below, indicate which accounts or holdings should be used for each goal. You may assign as many assets to a goal as you like. The analysis will not support, however, more than one goal utilizing the same asset.

Accum. Goal 1	_____	_____	_____	_____
Accum. Goal 2	_____	_____	_____	_____
Accum. Goal 3	_____	_____	_____	_____
Accum. Goal 4	_____	_____	_____	_____

Distribution Order

What order do you intend to make distributions from your accounts?

- I intend to distribute from my accounts and holdings with the goal of deferring income taxes.
- I intend to ensure that there are no undue estate consequences from passing qualified plans to heirs.
- I intend to distribute in the following custom order. In the section below, enter the account reference codes next to the desired number. If more than one account is listed next to the same number, this indicates that distributions will be made from these accounts simultaneously.

Order #	Account/Holding Reference #	Order #	Account/Holding Reference #
1	_____	6	_____
2	_____	7	_____
3	_____	8	_____
4	_____	9	_____
5	_____	10	_____

Notes:

Asset Sales

If you intend to sell assets as part of this plan, then list the details here. If you intend to downsize (or upsize) your residence, you can indicate that here as well. Any proceeds from a sale will be added to your retirement assets.

Hard Asset Ref #	When Will You Sell?	Estimated Closing Costs	Commissions	Primary Residence? ¹	Downsize Residence ²
_____	_____	\$ _____	_____ %	<input type="checkbox"/> Yes	_____ %
_____	_____	\$ _____	_____ %	<input type="checkbox"/> Yes	_____ %
_____	_____	\$ _____	_____ %	<input type="checkbox"/> Yes	_____ %

1 Indicate if this is the primary residence for tax purposes.

2 If you plan to downsize your residence, indicate the size of the replacement home. The percent will be applied to the value of the home at the time of sale.

Asset Allocation & Portfolio Returns

Describe your plans for the allocation of your portfolio over the life of this analysis. Do you expect to get more or less aggressive – or both? Do you have differing allocation objectives for each goal?

Notes:

Declaration

I declare that I have reviewed the information collected in this data sheet and that the investment data is correct to the best of my knowledge.

Client A Printed Name	Signature	Date
Client B Printed Name	Signature	Date